



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/728,599	12/01/2000	Louis Spitzer	2702.100 CIP

05514

FITZPATRICK CELLA HARPER & SCINTO  
30 ROCKEFELLER PLAZA  
NEW YORK, NY 10112



CONFIRMATION NO. 4746

## FORMALITIES LETTER



\*OC000000005623697\*

Date Mailed: 12/15/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1662.
  - \$702 for 39 total claims over 20.
  - \$960 for 12 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2502.

*A copy of this notice MUST be returned with the reply.*

Sm  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/13/2001 EXHIBIT 0000042 0728599  
01 FC:201 335.00 DP  
02 FC:202 351.00 DP  
03 FC:203 480.00 DP  
04 FC:204 65.00 DP

2702.100 CIP



PATENT APPLICATION

Sector #3  
\$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
LOUIS SPITZER ) Examiner: Not Yet Known  
Application No.: 09/728,599 ) Group Art Unit: 2683  
Filed: December 1, 2000 )  
For: APPARATUS AND METHOD FOR )  
TRANSMITTING INFORMATION )  
FROM SIGNAGE TO PORTABLE )  
COMPUTING DEVICE, AND )  
SYSTEM UTILIZING SAME ) February 8, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

**ATTENTION: APPLICATION PROCESSING DIVISION, SPECIAL  
PROCESSING AND CORRESPONDENCE BRANCH**

RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF APPLICATION - FILING DATE GRANTED

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF  
APPLICATION - FILING DATE GRANTED mailed December 15, 2000,  
enclosed please find the following:

1. an executed Declaration/Power of Attorney form;
2. an executed Statement Claiming Small Entity  
from the inventor;

3. surcharge fee for a small entity of \$ 65.00;
4. A copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED.
5. Filing Fee for a small entity of \$1186.00.

The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 06-1205.

Applicant's undersigned attorney may be reached in our New York office by telephone at (212) 218-2100. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

  
Attorney for Applicant

Registration No. 35,345

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